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PTO/SB/18 (08-00)  
Approved for use through 10/31/2002 OMB 0651-0032  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**DESIGN  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 1770-251US KPM/iw

First Named Inventor

Robert H. MARCHESSAULT

Title MAGNETIC COMPOUND

Express Mail Label No.

**ADDRESS TO:**

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**

**DESIGN V. UTILITY:** A "design patent" protects an article's ornamental appearance (e.g., the way an article looks) (35 U.S.C. 171), while a "utility patent" protects the way an article is used and works (35 U.S.C. 101). The ornamental appearance of an article includes its shape/configuration or surface ornamentation upon the article, or both. Both a design and a utility patent may be obtained on an article if invention resides both in its ornamental appearance and its utility. For more information see MPEP 1502.01.

**APPLICATION ELEMENTS**

See MPEP chapter 1500 concerning design patent application contents.

**ACCOMPANYING APPLICATION PARTS**

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status  
See 37 C.F.R. 1.27.
3. ☒ Specification [Total Pages 14]  
(preferred arrangement set forth below, MPEP 1503.01)
  - Preamble
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Description of the figure(s) of Drawings
  - Feature description
  - Claim (only one (1) claim permitted, MPEP 1503.03)
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76.

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73 (b) Statement ☒ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Request for Expedited Examination of a Design Application (37 C.F.R. 1.155)  
(NOTE: Substitute "Box Expedited Design" for "Box Design" in the address indicated above)
15. ☒ Other: **Petition under 37 CFR 1.47 - Inventor cannot be located**  
**Declaration in Support of Petition under 37 CFR 1.47**

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information. Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label



**020988**

SS

or ☐ Correspondence address below

Name

Address

City

State

Postal Code  
or Zip Code

Country

Telephone

514-845-7126 Ext. 3070

Fax

514-288-8389

Name (Print/Type) Kevin P. Murphy

Registration No. (Attorney/Agent)

26,674

Signature

*Kevin P. Murphy*

Date

April 17, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

## Complete if Known

TOTAL AMOUNT OF PAYMENT		(\$ 525	
Application Number			
Filing Date			
First Named Inventor		Robert H. MARCHESSAULT et al.	
Examiner Name			
Group /Art Unit			
Attorney Docket No		1770-251US KPM/iw	

### METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit over payments to.

Deposit Account Number: 19-5113

Deposit Account Name: Swabey Ogilvy Renault

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)					355

#### 2. EXTRA CLAIM FEES

		Extra Claims		Fee from below	=	Fee Paid			
Total Claims	<table border="1"><tr><td>10</td></tr></table>	10	- 20**=	<table border="1"><tr><td>0</td></tr></table>	0	X		<table border="1"><tr><td>0</td></tr></table>	0
10									
0									
0									
Independent Claims	<table border="1"><tr><td>1</td></tr></table>	1	- 3**=	<table border="1"><tr><td>0</td></tr></table>	0	X		<table border="1"><tr><td>0</td></tr></table>	0
1									
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Multiple Dependent					=	<table border="1"><tr><td>0</td></tr></table>	0		
0									

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20		
102	80	202	40	Independent claims in excess of 3		
104	270	204	135	Multiple dependent claim, if not paid		
109	80	209	40	** Reissue independent over original patent		
110	18	210	9	** Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Small Entity

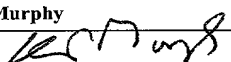
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

#### SUBMITTED BY

Name (Print/Type)	Kevin P. Murphy	Registration No (Attorney/Agent)	26,674	Telephone	514-845-7126 Ext. 3070
Signature		Date	April 17, 2001		